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Effect-based and chemical analytical methods to monitor estrogens under the European Water Framework Directive

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Detailed description of the authors contributions can be found in the Supplementary Information (SI, Table S1).
Abstract

The European Decision EU 2015/495 included three steroidal estrogens, estrone, 17β-estradiol and 17α-ethinyl estradiol, in the “watch-list” of the Water Framework Directive (WFD). As a consequence, these substances have to be chemically monitored at the level of their environmental quality standards, which can be challenging. This project aimed to identify reliable effect-based methods (EBMs) for screening of endocrine disrupting compounds, to harmonise monitoring and data interpretation methods, and to contribute to the current WFD review process. Water and wastewater samples were collected across Europe and analysed using chemical analyses and EBMs. The results showed that 17β-estradiol equivalents were comparable among methods, while results can vary between methods based on the relative potencies for individual substances. Further, derived 17β-estradiol equivalents were highly correlated with LC-MS/MS analyses. This study shows that the inclusion of effect-based screening methods into monitoring programmes for estrogens in surface waterbodies would be a valuable complement to chemical analysis.

Keywords

Science-policy interface  Estrogen screening  Endocrine disruption
Surface and waste water assessment  Emerging pollutants  EU watch-list
Steroid analyses  In vitro bioassays  Integrated effects of mixtures
1 State of the Art

Over the past two decades, numerous scientific studies have demonstrated that endocrine disrupting chemicals (EDCs) elicit adverse effects on sensitive aquatic species, such as fish [1-7]. Steroidal estrogens, like the natural hormones estrone (E1) and 17β-estradiol (E2), as well as the synthetic hormone 17α-ethinyl estradiol (EE2), are of particular environmental concern [8-11]. Due to their steady release via waste water effluents into surface waters [12, 13] and their high biological activity, even very low concentrations of E2 and EE2 have been shown to cause reproductive toxicity with negative effects at the population level [14-16]. As a consequence, E1, E2, and EE2 were included in a European Union (EU) Water Framework Directive (WFD) “watch-list” [17-20]. The WFD watch-list mechanism aims to collect high-quality monitoring data on concentrations of emerging pollutants and potentially hazardous substances, whose currently available monitoring information shows either quantitative or qualitative deficiencies [21]. To collect more high-quality data, listed substances have to be monitored at representative EU sampling sites for a period of at least 12 and up to 48 months. The watch-list mechanism is expected to support future substance prioritisation processes, enable the implementation of measures, and facilitate environmental risk assessment across the EU.

Chemical monitoring of estrogens for the watch-list mechanism is challenging, because the European Commission set maximum acceptable method detection limits (MDLs) at EQS levels of 400 pg/L for E1 and E2, and 35 pg/L for EE2 [18, 22]. Most routine analytical methods used by the Member States cannot meet these requirements, especially for EE2, based on [23, 24]. Hence, the quality assessment of water bodies based on current methods is a challenge for the detection/quantification limits that are too high to detect if EQS are being exceeded or not. Effect-based methods are able to detect estrogenic substances at sub-ng or even pg levels and have the potential to be used as a complementary screening tool [12, 25-27]. In addition, they do not require a priori knowledge of the substances to be monitored, as they are able to determine the biological response caused by complex mixtures of unknown compounds. Thus, effect-based methods may be suitable to serve as a valuable link between chemical analytical and ecological quality assessments, since the effects can rarely be linked to individual compounds.

As described in an EU technical report, which was elaborated in the context of the Chemical Monitoring and Emerging Pollutants (CMEP) expert group under the Common Implementation Strategy (CIS) of the WFD, effect-based tools can be categorised into three main groups: Bioassays (in vitro, in vivo), biomarkers, and ecological methods [28]. With regard to steroidal estrogens and other EDCs, in vitro reporter gene assays have been used predominantly to determine the total estrogen receptor (ER) mediated estrogenicity of an environmental sample [29]. Among the most commonly applied assays are in vitro methods such as estrogen receptor transactivation assays (ER-TAs), which use various cell types including yeast, human and other mammalian cell lines that were transfected with a human estrogen receptor coupled to a reporter gene [30]. Activation of the ER leads to the expression of the reporter
gene product, usually an enzyme that modifies another chemical, causing a quantifiable response. The resulting estrogenic potential of a sample is expressed as an E2 equivalent concentration (EEQ), indicating the estrogenic activity of the sample or sample dilution in terms of equivalency to the estrogenic activity of the corresponding E2 reference concentration [31].

Although ER-TAs are highly advantageous methods for the detection of ER activation and quantification of very low estrogen concentrations in surface waters [23], these methods are not included within current WFD monitoring programmes [20]. One reason for this is the lack of data that demonstrate their applicability as a monitoring and screening tool in combination with chemical analytical methods (see e.g. [14]). Such information would greatly increase their regulatory acceptance.

As a response to this need, an EU-wide project involving 24 research organisations and environmental agencies from 12 countries was carried out to evaluate the usefulness of specific in vitro methods for identifying the presence of the watch-list substances, E1, E2, and EE2, in surface and waste waters. The project aimed to compare the chemical and effect-based data resulting from the analysis of 16 surface and 17 waste water treatment plant effluent samples. Analyses were conducted in seven participating laboratories using different LC/MS- (three laboratories) and effect-based methods (five laboratories).

The objectives of the study were (i) the demonstration of reliable effect-based screening methods for the monitoring of estrogenic EDCs in waste water and surface water, (ii) the harmonisation of data interpretation methods, and (iii) providing recommendations for the implementation of cost-effective and reliable effect-based methods in WFD monitoring programmes.

2 The Project

2.1 Sampling

A total number of 16 surface water (SW) and 17 waste water (WW) samples were collected according to a protocol developed by the participants (SI, Part A). Selected sampling sites were located in seven European countries in Central and Southern Europe (Figure 1): Austria (1 SW/ 3 WW), Belgium (2/2), Czech Republic (2/2), France (1/1), Germany (4/4), Italy (5/3), and Spain (1/2). Sample collection was carried out from September to November 2015 by ten participating institutions. The samples were taken based on prior knowledge on their contamination with estrogens and represented a gradient of contamination from high to moderate.
2.2 Sample preparation

The sample preparation included the filtering of a part of the SW (see SI, Part A) and all WW samples over glass fibre filters (Millipore, type 4, retention 2.7 µm, circle size 4.7 cm). Since a filtration step can have an impact on the composition of a sample and its estrogenic activity [32], the filtration step was investigated during a feasibility study prior to the main study presented here. The results of the pre study did neither show a significant reduction in estrogenicity in the control nor in tested environmental samples (data not shown). Subsequently, all samples were enriched by means of solid-phase extraction (SPE; 11 L sample to 11 mL extract) and extracts were passed over silica gel (SiOH) columns (methods focusing on E1, E2 and EE2). While for surface water each extract was split into eleven 1 mL aliquots that were each passed over a single SiOH column, for waste water a single column was inadvertently used to treat the whole extract (11 mL). For LC-MS/MS analysis this means that matrix was less efficiently removed from WW extracts (relative to SW extracts) and higher matrix loads would have impeded low LOQs in WW LC-MS/MS analysis. For bioassay analysis this means that, should additional ER-agonists (i.e. other than E1, E2 and EE2) have been present in the extracts, a reduced clean-up efficiency would have reduced ER-agonist removal which in turn would have caused enhanced effects in bioassays. Full details of sample preparation are provided in SI, Part A.
2.3 Chemical and effect-based analyses

Participating laboratories received spiked reference samples, blanks and encoded water extracts. The chemical analyses were conducted in three different labs, which applied an LC-MS/MS with negative electron spray ionisation (detailed information in SI, Part D Table S2). The effect-based methods were conducted in five different labs: Estrogen Receptor Chemical Activated LUCiferase gene eXpression (ER-CALUX) at Biodetection Systems (BDS), luciferase-transfected human breast cancer cell line (MELN) gene-reporter assay at INERIS [33], ER-GeneBLAzer assay at the Helmholtz Centre for Environmental Research (UFZ) [34], the stably transfected human estrogen receptor-alpha transcriptional activation Assay using hERα-HeLa-9903 cells (HeLa-9903 assay) at RECETOX [35], and planar Yeast Estrogen Screen (pYES) at the German Federal Institute of Hydrology (BfG) [36, 37].

The pYES is a method, which combines a chromatographic separation of the sample by thin layer chromatography (TLC) with a subsequent performance of the YES on the planar surface of the TLC-plate [38-40]. Like the common assays which are performed in micro-well-plates, this approach allows the quantification of the overall estrogenic activity present in the sample by means of E2-equivalence concentrations. Furthermore, like methods based on LC/MS, it also allows the estimation of concentrations of individual estrogenic compounds, e.g. E1, E2 and EE2, due to the chromatographic separation of the sample. For this purpose the respective standard compounds are used for a calibration on the same TLC plate – in the present study E1, E2, EE2, and estriol (E3) were applied in a mixture at three different levels. Due to the limited separation power of the thin layer chromatography compared to HPLC and GC in particular, a co-migration of estrogenic compounds cannot be excluded. Therefore, under the assumption of effect addition, the estimated individual concentrations represent the possible maximal concentration of the respective compound. This approach can be used to identify and quantify substance groups causing ER-activation.

2.4 Blanks and positive controls

Ultrapure water (11 L) was used as extraction blank. An extraction blank was included with each extraction run of 10 samples, subjected to clean-up and distributed the same as the sample extracts. Further, each analysis using effect-based methods included a negative control. To avoid solvent effects on cell viability, its concentrations did not exceed a defined value (see SI, Part D Table S3). As positive controls for ensuring the validity and enabling a comparison of the methods, surface water samples (11 L each) from the Netherlands were spiked with E2 and EE2 at two concentrations by the central lab (BDS). The “low spike” (600 pg/L) represented a concentration slightly above the proposed EQS for E2 (400 pg/L). The “high spike” (6000 pg/L) represented a concentration that is quantifiable with high certainty by both effect-based and chemical methods.
2.5 Data evaluation – effect-based methods

Raw data and information on relative enrichment factors (REF) of the extracts were collected from participating laboratories. The REF expresses the combination of: 1) sample enrichment using SPE and 2) extract dilution steps in each of the applied effect-based methods. Estrogenic activity of the extracts was expressed as E2-equivalence concentration (pg EEQ/L water) (described in detail in SI, Part B). Briefly, dose-response curves of the reference compound, E2, and the dilution series of the water extracts and blanks were fitted using a five-parametric non-linear regression with normalised data. The concentration of the positive control (E2) needed to induce 10 % effect of the maximum E2-induction (PC₁₀), was calculated. Subsequently, the relative REF of the sample, that stimulates the assay at PC₁₀ level was determined by interpolation. The PC₁₀ reference concentration was divided by the corresponding sample dilution (REF) to obtain the EEQ of the sample. EEQs derived by the PC₁₀ method are presented in the results section.

2.6 Data evaluation – chemical analysis

Internal standard calibration and interpolation using a linear regression model were performed to determine concentrations (pg/L) of the individual steroidal estrogens in sample extracts. Identification of selected analytes was performed based on two to three Multiple Reaction Monitoring (MRM) transitions between the precursor ion and two or three most abundant product ions, depending on the laboratory where analyses were done. The first transition was used for quantification purposes whereas the second and third transitions were used to confirm the presence of the target compound in the sample. Quantified analytes were identified by comparing the retention time (RT) of the corresponding standard and the ratio between two ion transitions recorded (±20 %) in the standard and water samples.

2.7 Calculation of sample-dependent LOD and LOQ

The Limits of quantification (LOQ) for effect-based methods the LOQs were calculated as 3-fold the standard deviation (SD) of the averaged response of the negative control on each assay plate. The effect level of 3-fold the SD was interpolated from the E2 reference curve and divided by the REF of the sample to derive the LOQ. The actual reporting for effect-based methods occurred at the 10% effect level which was always above LOQ (typically at 2-5 % effect levels).

In case of the chemical analysis the limits of detection (LOD) were determined for each compound in each sample based on the signal intensity of the internal standards or the analyte peak by a signal-to-noise (S/N) ratio of 3:1 and LOQ by a S/N ratio of 10:1.

When comparing LOQs of effect-based methods with those of chemical analyses the various key differences between the two approaches need to be taken into account (for further background see SI, Part C).
2.8 Comparison of chemical and biological analysis

The EEQ\textsubscript{bio} is the ratio of the effect concentration of the reference compound estradiol EC\textsubscript{50}(E2) (pg/L) and the sample EC\textsubscript{50}(sample) (Equation 1) and was derived in this study using the PC\textsubscript{10} approach (see above). The EEQ\textsubscript{chem} was calculated from the sum of the relative effect potencies REP\textsubscript{i} times the detected concentration of estrogenic chemical i, c\textsubscript{i} [41]. The REP, in turn, is the ratio of the effect concentration of the reference compound estradiol EC\textsubscript{50}(E2) and the chemical i’s EC\textsubscript{50}(i) (Equation 2).

\[
\text{EEQ}_{\text{bio}} = \frac{\text{EC}_{50}(E2)}{\text{EC}_{50}(\text{sample})}
\]  

\[
\text{EEQ}_{\text{chem}} = \sum_{i=1}^{n} \text{REP}_i \cdot c_i = \sum_{i=1}^{n} \frac{\text{EC}_{50}(E2)}{\text{EC}_{50}(i)} \cdot c_i
\]

Due to the analytical method detection limits of E2 and EE2, we evaluated the potential contribution of non-detected estrogens to the overall EEQ\textsubscript{chem,LOD/2} using Equation 3, where values below the LOD (“non-detects”) were included as LOD/2. If the analytical lab reported data as <LOQ, we used LOQ/2 in Equation 3 instead of LOD/2. In Equation 3, n refers to the total number of chemicals included in the analysis, m refers to the number of chemicals below LOD. Ci is the average value of three analytical measurements.

\[
\text{EEQ}_{\text{chem,LOD/2}} = \sum_{i=1}^{n-m} \text{REP}_i \cdot c_i + \sum_{j=1}^{m} \text{REP}_j \cdot \text{LOD}_j/2
\]

2.9 Correlation analysis

The correlation analysis among effect-based methods (EEQ\textsubscript{bio}) was performed with GraphPad Prism, using the Pearson correlation (r) [42].

3 Results and discussion

3.1 Reference chemicals and validation

All essential criteria for method performance were fulfilled in this study (described in more detail in the SI, Part E). As shown in Table S4 (SI, Part E), the chemical analytical as well as effect-based methods showed good recovery in the spiked samples. No estrogenic activity or quantifiable concentrations of E1, E2, and EE2 were measured in the blank samples (i.e. procedure-, extraction- and solvent blanks). As the derived effect concentrations in the effect-based methods and chemically measured EE2
concentrations matched with the nominal concentrations of the spiked samples, the observed effects can be ascribed to the samples themselves.

3.2 Results of chemical analysis

Measured concentrations of the three estrogens E1, E2 and EE2 differed widely between sampling sites as well as between surface and waste water samples. Differences among SW samples can be explained by varying river characteristics, e.g. flow (dilution factor), or temperature, as well as differences in estrogenicity of treated WW, that are released into the SW. The results of the analyses, which are summarised in Figure 2, show a 3.2 to 3.6 times higher mean concentration for E1 and E2 in WW (Figure 2B) compared to SW (Figure 2A). Due to the highly contaminated WW sample M(23), possibly influenced by an industrial discharge of EE2, the mean concentration of EE2 across all WW samples was approximately 20 times higher compared to SW (Figure 2). Estrone (E1) was quantified in all samples. For E1 maximum concentrations of 5.6 ng/L (sample P(7)) and 20.5 ng/L (sample Q(20)) in SW and WW were measured, respectively. E2 was the second most frequently quantified estrogen and measured above LOQ in nine of 16 SW and six of 17 WW samples. Measured concentrations ranged from 0.4 ng/L (sample N(33)) to 1.1 ng/L (sample Q(20)) in WW, and from 0.06 ng/L (sample J(10)) to 0.5 ng/L (sample N(15)) in SW. The synthetic EE2 was least frequently quantified and measured above LOQ in four of 16 SW and four of 17 WW samples with a maximum concentration of 0.3 ng/L in SW sample O(3) and 7.5 ng/L in WW sample M(23). These concentration ranges and patterns are in accordance with recent review studies [43, 44].
Our results underline the analytical difficulties that have recently been highlighted for E2 and EE2 by several studies and workshops [16, 45], stressing the challenges that emerge for routine methods used in national monitoring programmes. Despite the use of quite advanced chemical analytical techniques (status 2015), the detection and quantification of E2 and EE2 in SW and WW samples was problematic in some cases. While it was possible to quantify E1 in almost all samples, the percentage of quantifications was significantly reduced for E2 and even more for EE2 (Figure 3). This was partially due to the fact that insufficient silica gel was used to reduce the matrix effects in WW. WW is considered as worst-case regarding matrix effects [46, 47].

Figure 2: Chemical analytically measured concentrations for SW (A) and WW extracts (B) above LOQ for E1, E2 and EE2. The bars show the mean concentration of all three applied methods for each analyte showing results > LOQ, the standard deviation is shown when two or three methods reported results. The sample-dependent LOQs are listed in the supplementary information together with the measurement data of analytical methods (SI, Part F, Table S6 and S7).
However, the quantification of substances itself is not the only challenge faced by those routinely applying analytical methods for watch-list monitoring. According to the EU Commission Decision 2015/495, which established the first watch-list, the indicative methods applied by Member States have to meet the minimum requirement for method detection limits (MDL) equal to the proposed EQSs of E1 at 3.6 ng/L, E2 at 0.4 ng/L and EE2 at 0.035 ng/L [18]. To take into consideration the matrix effects of different waters, LODs and LOQs had to be calculated for each sample (SI Part F, Table S7). The three techniques used in the current study were able to meet MDL requirements for E1 in all SW and WW samples. Also for E2, in 96 % of surface water samples and 94 % of waste water samples detection was possible at the level of the proposed EQS. In the case of EE2, the minimum criteria were not met, since only 56 % and 16 % of SW and WW samples, respectively, could be monitored at the EQS level. These findings are in accordance with a recent report from 2015, which showed that the lowest LOQ found in literature at that time was sufficient for compliance monitoring of E1 and E2 in inland surface waters, while the criteria were not met for EE2 by several Member States [24]. It has to be pointed out that, in this project, the silica clean-up step for the sample extracts differed between WW and SW samples (see methods section) favouring the presence of polar compounds in extracts of WW samples. This difference likely reduced the sensitivity of the analytical method for the target compounds in WW samples. Furthermore, sample extraction was performed at pH 3 possibly increasing concentrations of humic acids and thus lowering sensitivity of LC/MS-based methods applied. Under ideal conditions, we estimate that analytical methods can achieve LODs and LOQs of a factor 2 to 3 lower in WW samples. It has to be recognised that the LODs of chemical analytical methods used exclusively for steroidal estrogens already significantly decreased from 2013 (LOD E2 and EE2 of 100 pg/L) to 2015 (E2: 60 pg/L, EE2: 85 pg/L) and will certainly decrease further [16, 23].

Nevertheless, if steroidal estrogens were to be included in the EU priority list for monitoring, very strict minimum performance criteria would apply. As stated in the Commission Directive 2009/90/EC, an analytical method used for monitoring of priority substances needs a LOQ equal or below a value of

![Figure 3: Mean percentage of quantified (>LOQ) samples for each substance in SW and WW. The sample-dependent LOQs are listed in the supplementary information together with the measurement data of the analytical methods (SI Part F, Table S7).](image-url)
30 % of the EQS [48]. These requirements can presently be met only for E1, but not for E2 or EE2 in all SW. Regarding the quantification of E2, and EE2, existent routine analytical techniques still lag behind the requirements. This result is supported by two recent reviews on the performance of current analytical methods that have shown that 35 % of reviewed methods complied with the EQS for E2, while only one method complied with the EQS for EE2 [49, 50]. In order to not only detect but also quantify at such low concentrations as required for regulatory monitoring application, a further decrease of LOQs is necessary, which is difficult to achieve for routinely used non-tailored analytical methods in the short-term.

3.3 Quantification limits of chemical-analytical and in vitro effect-based methods

The LOQs for all methods applied in this study are summarised in Figure 4. Since E2 is used as the reference compound for all effect-based methods, the LOQ of E2 is shown for the chemical-analytical methods as an example. When comparing LOQs across the different methods it has to be taken into account that LOQs were derived along different approaches (see method section and SI, Part C for further details). The effect-based in vitro methods were generally able to quantify effects at one to two orders of magnitude lower concentrations than the analytical methods used. For effect-based methods, LOQs ranged between 0.002 ng/L and 0.2 ng/L for SW as well as WW, while for chemical-analytical methods LOQs for E2 were 0.04 ng/L to 1.5 ng/L in SW and 0.05 ng/L to 3 ng/L in WW. This increase in LOQs for chemical-analytical methods in WW samples (Figure 4B) compared to surface water (Figure 4A) can be ascribed to the higher complexity of the waste water matrix [46, 47] as well as the less efficient clean-up used for WW samples.

![Figure 4: Sample-dependent LOQs in surface water (A) and waste water (B) extracts.](image)

For the chemical analytical method the LOQ of E2 is shown as an example and for the effect-based methods the LOQ of the integrated effects is represented. Plots indicate the distribution of data, thereby the bottom and the top of the box are the first and third quartiles, while the line inside the box is the median. The whiskers show the minimum and maximum of all data.

3.4 Measured estrogenic effects

As a result of these low effect-based quantification limits, estrogenic activities were detected in all tested samples. As expected, highest EEQs were measured in WW samples (Figure 5A and B). In SW, EEQbio
ranged from 0.16 ng/L measured with HeLa-9903 in sample B(6) to up to 5.4 ng/L measured with pYES in sample O(3). In WW, the lowest EEQ\textsubscript{bio} of 0.03 ng/L was measured in sample A(26) with ER-GeneBLAzer, while the highest EEQ\textsubscript{bio} of 24 ng/L was measured in sample M(23) with HeLa-9903. Further, it is evident that EEQ\textsubscript{bio} for SW samples determined with the MELN, as well as the pYES, were higher (> 50 %) than the EEQ\textsubscript{bio} measured with the other effect-based methods. A possible reason for this pattern, which was less pronounced in WW, could be a higher sensitivity of the MELN and pYES towards E1 (see SI Part F, Table S8), combined with a larger proportion of E1 in surface water. Additionally, alterations in the method’s performance occur due to differences between the test systems, which was already mentioned in previous studies [23, 44, 51] and is further discussed for this project in an associated publication [52].

![Figure 5: Measured E2-equivalents for all SW (A) and WW (B) extracts.](image)

**Figure 5**: Measured E2-equivalents for all SW (A) and WW (B) extracts. The symbols show the EEQs for each bioassay, which were calculated according to the method described in section 2.5. The sample-dependent LOQs are mentioned in the supplementary information, together with the measurement data of effect-based methods (SI Part F, Table S8 and S9).

### 3.5 Comparison of chemical analysis and *in vitro* effect-based methods

We cannot a priori expect consistency between EEQ\textsubscript{chem} calculated from E1, E2, and EE2 concentrations and EEQ\textsubscript{bio}. Although the extraction and clean-up method focused on E1, E2, and EE2, other natural estrogens and xenoestrogens (both agonists and antagonists) might still be present in the extracts and
contribute to the mixture effects detected by effect-based methods. Thus, there can be situations where EEQ$_{\text{chem}}$ is lower than EEQ$_{\text{bio}}$ because: 1) agonists other than E1, E2, and EE2 were present in the sample but not quantified by LC-MS/MS analyses or 2) some target compounds were present but below LOQ or LOD, thus they were not included in EEQ$_{\text{chem}}$ but still contributed to EEQ$_{\text{bio}}$. Alternatively, EEQ$_{\text{chem}}$ can be higher than EEQ$_{\text{bio}}$ when antagonists suppress the response of the assay.

For ER-CALUX, the comparison of EEQ$_{\text{bio}}$ with EEQ$_{\text{chem}}$ (Figure 6A) indicated an underestimation of EEQ$_{\text{bio}}$ by EEQ$_{\text{chem}}$ at low concentrations of steroidal estrogens. When E1 concentrations are low, typically E2 and EE2 concentrations are below LOQ (Figure 2). However, as stated above, also below their LOD/LOQ, these chemicals may be present and contribute to the biological mixture effect (i.e. EEQ$_{\text{bio}}$). We therefore also calculated the EEQ$_{\text{chem,LOD/2}}$ that uses the LOD/2 or LOQ/2 for those E2 and EE2 concentrations below the LOD or LOQ. The increase in EEQ$_{\text{chem}}$ due to the inclusion of LOQ/2 and LOD/2 data (SI, Part F, Table S10-14), shifts the EEQ$_{\text{chem}}$ - EEQ$_{\text{bio}}$ data cluster towards the one-to-one line (Figure 6B). In fact, there is now a slight overestimation of the biological effect in the range where EEQ concentrations are low (up to ca.100 pg/L). The fact that the agreement between EEQ$_{\text{chem}}$ and EEQ$_{\text{bio}}$ has become much better (going from Figure 6A to 6B) is a good indication that E2 and EE2 are indeed present and were captured by effect-based methods.

The situation for MELN is markedly different from that of ER-CALUX. For MELN the direct comparison between EEQ$_{\text{chem}}$ and EEQ$_{\text{bio}}$ is already very good (Figure 6C). In fact, EEQ$_{\text{chem}}$ tends to be above EEQ$_{\text{bio}}$ already before adding the additional EEQ$_{\text{chem}}$ component using LOD/2 or LOQ/2 for E2 and EE2. The inclusion of LOD/2 or LOQ/2 in the EEQ$_{\text{chem}}$ calculation caused a notable overestimation of EEQ$_{\text{chem}}$ for almost all samples (>90% of data above the 1 to 1 line in Figure 6C). The other three bioassays show results that are intermediate between ER-CALUX and MELN, with a general trend towards a slight underestimation of EEQ$_{\text{chem}}$ for samples with low EEQ$_{\text{bio}}$ and an overestimation after adding LOD/2 or LOQ/2 (see Figure S1).

The marked differences between ER-CALUX and MELN are not unexpected. MELN has the highest relative E1 effect potency of all tested bioassays (0.29 compared to 0.01 for ER-CALUX; Table S5). Thus, EEQ$_{\text{chem}}$ results for MELN are strongly based on E1 concentrations – a compound that was always measured (except for a few samples by Lab 2, Figure 3). Consequently, for MELN the relative contribution of E2 and EE2 at LOD/2 or LOQ/2 on top of measured E1 concentrations is relatively small though still noticeable for samples with low EEQ concentrations (compare Figure 6C to 6D).
Figure 6: Comparison of $\text{EEQ}_{\text{chem}}$ with $\text{EEQ}_{\text{bio}}$. Exemplary graphs are shown for the ER-CALUX (A, B) and MELN assay (C, D) (further figures in the SI, Part G). Graphs on the left show the $\text{EEQ}_{\text{chem}}$ derived from values >LOQ, while the graphs on the right show the $\text{EEQ}_{\text{chem} + \text{LOQ}/2 \text{ or LOD}/2}$ calculated by including LOD/2 or LOQ/2. The dashed line indicates perfect agreement of $\text{EEQ}_{\text{chem}}$ with $\text{EEQ}_{\text{bio}}$.

3.6 Comparison of effect-based methods

To compare the five effect-based methods amongst each other, a correlation analysis was conducted by plotting the EEQs of one method against the EEQs of all other methods for SW samples and WW samples, respectively (Figure 7).
Figure 7: Exemplary graphs of correlation analysis of effect-based methods for SW (A) and WW (B) showing the strongest and weakest correlations. The correlation analysis was based on the method described in section 2.9. The dashed line indicates perfect agreement of the compared effect-based methods. All correlations were significant with a p value <0.0001 except for MELN and HeLa-9903 (top right panel) which had a p value = 0.01. Further graphs are shown in SI, Part H, Figures S2 and S3.

The results of this analysis are summarised in Table 1 and Table 2 and show a strong correlation and thus good comparability of pYES, MELN and ER-CALUX. For SW samples, the strongest correlations were seen for pYES/MELN ($r^2 = 0.94$) and pYES/ER-GeneBLAzer ($r^2 = 0.94$), while the weakest correlation was determined for MELN/HeLa-9903 ($r^2 = 0.58$). For WW samples, test results correlated strongly among all methods (Table ), and the strongest correlation ($r^2 = 0.99$) was observed for ER-CALUX/HeLa-9903. It is known that effect-based methods differ in their REPs for individual ER-agonists [53-55] which can explain that results obtained by the HeLa-9903 assay correlated less strongly with other test results. Based on these differences effect-based methods can be split into two groups: pYES and MELN with high E1 REP and ER-CALUX, HeLa-9903 and ER-GeneBLAzer with lower E1 REP.
Table 1: Pearson correlation coefficients of all bioassays for SW. The values were calculated according to the method mentioned in section 2.9. All correlations were significant with a p value <0.0001 (***).

<table>
<thead>
<tr>
<th></th>
<th>MELN</th>
<th>ER-GeneBLAzer</th>
<th>HeLa-9903</th>
<th>pYES</th>
</tr>
</thead>
<tbody>
<tr>
<td>ER-CALUX</td>
<td>0.81 ***</td>
<td>0.91 ***</td>
<td>0.86 ***</td>
<td>0.76 ***</td>
</tr>
<tr>
<td>MELN</td>
<td>0.93 ***</td>
<td>0.58 *</td>
<td>0.94 ***</td>
<td></td>
</tr>
<tr>
<td>ER-GeneBLAzer</td>
<td>0.77 ***</td>
<td></td>
<td>0.94 ***</td>
<td></td>
</tr>
<tr>
<td>HeLa-9903</td>
<td></td>
<td>0.61 *</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Table 2: Pearson correlation coefficients of all bioassays for WW. The values were calculated according to the method mentioned in section 2.9. All correlations were significant with a p value <0.0001 (**).

<table>
<thead>
<tr>
<th></th>
<th>MELN</th>
<th>ER-GeneBLAzer</th>
<th>HeLa-9903</th>
<th>pYES</th>
</tr>
</thead>
<tbody>
<tr>
<td>ER-CALUX</td>
<td>0.94 ***</td>
<td>0.98 ***</td>
<td>0.99 ***</td>
<td>0.89 ***</td>
</tr>
<tr>
<td>MELN</td>
<td>0.98 ***</td>
<td>0.94 ***</td>
<td>0.97 ***</td>
<td></td>
</tr>
<tr>
<td>ER-GeneBLAzer</td>
<td>0.97 ***</td>
<td></td>
<td>0.96 ***</td>
<td></td>
</tr>
<tr>
<td>HeLa-9903</td>
<td></td>
<td>0.88 ***</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4 Conclusions and trends

By including E1, E2, and EE2 in the watch-list of the WFD, the European Commission recognised the need to assess environmental occurrence and impact of these endocrine disrupting substances. However, the current WFD monitoring approach, which is based on chemical analytical measurements and compliance with specific EQSs, has been shown to be limited with regard to the ability to detect these substances at required concentrations [18, 51]. As demonstrated in this study, chemical analytical methods (status 2015) were unable to quantify the steroidal estrogens E2 and EE2 at EQS concentrations in all samples although E1 was measured effectively. Using effect-based methods, EEQ concentrations could be determined in all samples. As these EEQ concentrations are the responses to mixtures of known as well as unknown substances, effect-based methods have the potential to be highly valuable tools complementing routine monitoring and water quality assessment for estrogenic compounds. Effect-based methods are of particular regulatory interest as tools to screen and prioritise samples for further analysis by chemical analytical methods. Furthermore, DIN/EN/ISO standards to determine the estrogenic potential of water samples – covering human cell lines (e.g. ER-CALUX) and yeast based assays – will be available in early 2018 under ISO/DIS19040. The availability of such standards will facilitate the integration of effect-based methods into regulatory schemes.

Our study showed that EEQ results obtained from all effect-based methods applied were comparable – especially at higher concentrations found in WW – but results can vary between methods based on the
relative effect potencies for individual substances. This has to be considered for the interpretation of data
and determination of threshold values. As stated above: 1) in vitro effect-based methods cannot deliver
single substance based measurements, but are suitable to assess overall estrogenicity in water samples
and 2) results of these methods need to be confirmed by advanced chemical analysis. Along these lines,
the inclusion of effect-based methods into monitoring programmes as a screening tool (detailed
description in Kase et al., [52]) for estrogenic substances in surface water bodies would be a valuable
complement to chemical analysis currently foreseen by the Directive 2013/39/EU and WFD [28, 56, 57].
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Conflict of interests

The Federal Institute of Hydrology did not receive any kind of financial support from the Pharmaceutical Associations. Other authors declare no conflict of interest.
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**Highlights**

*In vitro* effect-based methods integrate effects of mixtures of chemical compounds with the same mode of action

E2 equivalents are highly correlated with LC-MS/MS

E2 equivalents are highly correlated among effect-based methods

Implementation of effect-based methods in the water framework directive is highly recommended